# Fundamentals of Programming 2 Binary Search Trees

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#### Outline

#### Introduction

#### Definitions

The Implementation of  ${\tt BST}$ 

The Data Type of BST Node
Insertions in BST
Binary Tree Traversal
Counting Nodes
Minimum And Maximum Key
Searching For a Node With a Given Key
Removing All Nodes From Binary Tree
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The main() Function

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# Introduction

Trees and binary trees are non-linear data structures used to store hierarchically ordered data. In this case non-linearity means that each node has at most one "predecessor", called a parent and an unlimited number of "successors", called children. For binary trees the number of children that a single node can have is limited to two. It is worth to notice that trees and binary trees are distinct types of data structures. They are also subclasses of graphs, which will be discussed in the next lecture.

Today's lecture is about binary search trees (BSTs), that are a subtype of binary trees. Some definitions concerning binary trees and trees are given in the next slides.

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# Definitions

The Binary Tree

The binary tree is a finite set of nodes that is either empty or contains a node, called the root, and two disjoint binary trees, called the left and the right subtree. If these subtrees are not empty then their roots are called, respectively, the left child and the right child of the binary tree's root. Conversely, the root is called their parent. The degree of a node (the number of its children) in the binary tree is limited to two. Nodes with a non-zero degree are called internal nodes, and nodes with a degree equal to zero are called leaves. Each node also has a property called a level. The level of the root is zero and the level of every other node is greater by one than the level of the root in the smallest subtree that the node is part of. The height of the tree is greater by one than the maximal level of its nodes. The binary tree is also an ordered tree or a flat tree, because the order of its subtrees is important.

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# Definitions Notes The Binary Tree And The Tree A tree differs from a binary tree in the respect, that it always has at least one node, and the level of each node in the trees is not limited. 5 / 62 Definitions Notes The Full Binary Tree And The Complete Binary Tree If each node in a binary tree has a degree of either two or zero, then it is a $\mathit{full\ binary\ tree}.$ If a binary tree of a given height has all possible nodes, perhaps with the exception of the last level, then it is the $complete\ binary$ A full binary tree A complete binary tree It's worth to notice that in Computer Science the trees grow upside-down Definitions Notes Binary Search Tree (BST) The binary search tree (BST) is a binary tree that each node stores a data item called a key. With the key may be associated another data item, called a ${\it value}.$ Such a binary tree can be used to build a dictionary. It is another data structure where the key identifies the value. In the ${\tt BST}$ the order of the keys is determined by the following principle: The order of keys in the BST Let x be a node in the BST. If y is a node in the left subtree of x, then $key(x) \ge key(y)$ . If y if a node in the right subtree of x then $key(x) \le key(y)$ . The Implementation of BST Notes

In the lecture, an implementation of the BST in a form of a dynamical data structure is presented, that adheres to the definition given in the previous slide. It stores only keys. Please notice however, that the definition is a little ambiguous. If the operation of adding a node to the BST should work according to it, then it would have a problem when the key in the new node was already in the tree — should the new node be added on the left side of the node with the same key or on the right side? To avoid this issue the keys in the demonstrated BST are unique. It is the most common approach to this issue.

#### The Implementation of BST

The Data Type of  ${\tt BST}$  Node

```
#include<stdio.h>
#include<stdlib.h>
#include<time.h>

struct bst_node
{
   int key;
   struct bst_node *left_child, *right_child;
} *root;
```

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Notes

#### The Implementation of BST

The Data Type of  ${\tt BST}$  Node

The header files included to the program (lines 1–3) provide declarations of functions, which allow the program to use the standard output stream, to allocate and deallocate the heap memory and to apply pseudorandom numbers generator (PRNG).

Please notice, that the definition of the data type for a single BST node (lines 5–6) reminds a lot, a similar construct from the program that uses the doubly linked list. However, the purpose of the structure members is different. The key member stores the key, which is an integer of the int type. In the line no. 8 are declared two pointer fields, called left\_child and right\_child. They are used for storing the addresses of, respectively, the left and the right child of a given node. If the node is a leaf (has no children) then the value of these fields will be NULL. In an inner node, only one pointer field can be an empty pointer.

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# The Implementation of BST

The Data Type of BST Node

In some implementations of BST each node has another pointer field, usually called  $\tt parent$ , which points to the node's parent. Only in the root this field is an empty pointer.

In the line no. 9 of the slide no. 9 is declared a global variable named root. It is a pointer to the root of the BST. Its default value is NULL meaning that the BST also is initially empty.

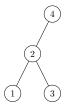
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# The add\_node() Function

```
void add_node(struct bst_node **node, int number)
            while(*node && (*node)->key != number)
                    if((*node)->key > number)
                            node = &(*node)->left_child;
                    else
                            node = &(*node)->right_child;
            if(!*node) {
                    *node = (struct bst_node *)malloc(sizeof(struct
        bst_node));
                    if(*node) {
                             (*node) -> key = number;
                            (*node)->left_child = (*node)->right_child =
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        NULL;
                    }
            }
```

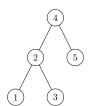
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The add_node() Function	Notes
The add_node() function is responsible for adding a new node with a given key to the BST. It returns no value, but it has two parameters. The first	
of them is a pointer to a pointer called <b>node</b> . This parameter is used for passing the address of the <b>root</b> pointer. The second one is a variable of	
the int type called number. By this parameter the function gets the key that should be stored in the new node.	
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The add_node() Function	Notes
The objective of the while loop (lines 3-7) is finding a place in the BST	
for the new node, using the key the node will store. The loop is performed as long as the pointer pointed by node is not empty and the node pointed	
by this pointer has a different key than the one that will be stored in the new node. If both these conditions are met, then the loop checks if the key	
in the node, which address is stored in the pointer pointed by the node parameter, is greater than the key for the new node (line no. 4). If so, then the address of that node's left_child field is assigned to the node	
parameter (line no. 5). The smaller keys are stored in the left subtree and this is where the new node should be added. If the condition from the	
4 <sup>th</sup> line is not fulfilled, then the address of the node's right_child field is assigned to the node parameter, meaning that the new key should be in	
the right subtree, where larger keys are stored.	
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The add_node() Function  After the while loop stops, the add_node() verifies, if the pointer pointed by the node parameter is empty (line no. 8). If not, then it means that the loop has found a node that has the same key as the one that is stored in the number parameter. The keys in the BST have to be unique, so the add_node() will exit in that case without doing anything else. However, if the condition in the 8th line is met, then the function will try to allocate memory for the new node (line no. 9). It checks the outcome of the operation in the line no. 10 and if successful it assigns the key stored in the number parameter to the new node (line no. 11) and initializes both of the node's pointer fields with the NULL value (line no. 12).  Please notice, that if the while loop stops at once, then it means that the BST is empty and add_node() will add the first node to the BST. If, however, the loop performs several iterations and stops when the *node expression in the 3th line is false, then it means that the node stores an address of one of the pointer fields of the node that should be the parent of the new node.  Insertion in BST  The next slide shows an animation that illustrates schematically how sev-	



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#### Insertion in BST



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# Binary Tree Traversal

There are three recursive algorithms for traversing a binary tree (in fact any tree):

- 1. in-order traversal,
- 2. pre-order traversal,
- 3. post-order traversal.

In all these algorithms the left subtree is traversed recursively before the right subtree. Only the root is visited in a different order in each of them. Most of other binary tree algorithms are based on these three. The binary tree traversal algorithms are usually implemented in a form of recursive functions.

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# Binary Tree Traversal

 $In ext{-}Order$  Traversal

The in-order traversal algorithm is as follows:

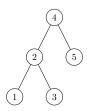
- 1. traverse the left subtree recursively,
- 2. visit the root,
- 3. traverse the right subtree recursively.

The next slide shows an example BST and the outcome of a function that displays the keys stored in this BST using the in-order algorithm.

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# Binary Tree Traversal

In-Order Traversal



Outcome

1, 2, 3, 4, 5

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# The print\_bst\_inorder() Function

```
void print_bst_inorder(struct bst_node *node)
{
    if(node) {
        print_bst_inorder(node->left_child);
        printf("%4d ",node->key);
        print_bst_inorder(node->right_child);
}
```

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# The print\_bst\_inorder() Function

The print\_bst\_inorder() function uses the *in-order* traversal algorithm to print the keys stored in the BST on the screen. It doesn't return any value, but it has a parameter named node which is a pointer to a node. The argument for this function is the address of the tree root.

The function first checks if the node is not an empty pointer (line no. 3). If it is so, then print\_bst\_inorder() invokes itself recursively for the left child of the node pointed by the node parameter and, in consequence, for the entire left subtree of that node (line no. 4). When the function returns from the recursive calls, then it prints the key stored in the node pointed by the node parameter (line no. 6) and once again it invokes itself recursively, but this time for the right child of the node, and in consequence, for its whole right subtree.

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# The print\_bst\_inorder() Function

It's worth noting that the sequence of recursive calls ends, when the function is invoked for a non-existing node. In that case, the condition in the  $3^{rd}$  line is not satisfied, this instance of the function immediately exits and the control returns to the function's earlier instance.

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# Binary Tree Traversal

Pre-Order Traversal

The pre-order traversal algorithm is as follows:

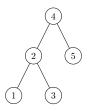
- 1. visit the root,
- 2. traverse recursively the left subtree,
- 3. traverse recursively the right subtree.

This algorithm, unlike the *in-order* traversal algorithm, visits the root, before traversing the subtrees. The next slide shows an example BST and the outcome of a function that uses the *post-order* traversal algorithm to print keys stored in this tree.

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#### Binary Tree Traversal

 $Pre ext{-}Order$  Traversal



Outcome

 $4,\ 2,\ 1,\ 3,\ 5$ 

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# The print\_bst\_preorder() Function

```
void print_bst_preorder(struct bst_node *node)
{
    if(node) {
        printf("%4d ",node->key);
        print_bst_preorder(node->left_child);
        print_bst_preorder(node->right_child);
}
}
```

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# The print\_bst\_preorder() Function

The print\_bst\_preorder() is similar to the print\_bst\_inorder() function. The only two differences are the name and that the former is invoked recursively (lines 5–6) after the key from the node currently pointed by the node parameter is displayed (line no. 4).

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#### Binary Tree Traversal

Post-Order Traversal

The post-order traversal algorithm is defined as follows:

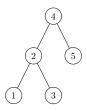
- 1. traverse recursively the left subtree,
- 2. traverse recursively the right subtree,
- 3. visit the root.

It differs from the two previous in that it traverses both subtrees (first the left one then the right) first and only then it visits the root. The next slide shows an example BST and the outcome of a function that applies the algorithm to display the keys stored in the BST.

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#### Binary Tree Traversal

 $Post\text{-}Order \; \mathrm{Traversal}$ 



Outcome

 $1,\ 3,\ 2,\ 5,\ 4$ 

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# The print\_bst\_postorder() Function

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# The print\_bst\_preorder() Function

The print\_bst\_postorder() function is also similar to the two previously presented. It has however a different name and it invokes itself recursively for the children of the node pointed by the node parameter (lines 4-5), before printing the key stored in that node on the screen.

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#### Number of BST Nodes

Displaying the keys stored in the BST is not the only use of the binary tree traversal algorithms. Let's consider how to count the nodes of a BST. It turns out that the Divide-And-Conquer method could be useful in this

- $\blacktriangleright$  if a tree is empty, then the number of its nodes is zero,
- ▶ if the tree is not empty, then the total number of its nodes is the sum of the number of nodes in its left subtree, of its root (one node) and of the number of nodes in its right subtree.

Please notice, that the last point corresponds to the in-order traversal algorithm, although any of the binary tree traversal algorithms could be applied, due to the commutativity of addition.

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#### The count\_nodes() Function

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# The count\_nodes() Function

The function, presented in the previous slide, counts the number of BST nodes using the algorithm described in the slide no. 32. It returns a number of the unsigned int type (the number of nodes is always natural) and takes the address of the BST root as an argument.

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# The find\_minimum() And find\_maximum() Functions

```
struct bst_node *find_minimum(struct bst_node *node)
{
    while(node && node->left_child)
        node = node->left_child;
    return node;
}

struct bst_node *find_maximum(struct bst_node *node)
{
    while(node && node->right_child)
        node = node->right_child;
    return node;
}
```

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#### The find\_minimum() And find\_maximum() Functions

Finding a BST node with a minimum key is easy. It is the leftmost node. Similarly, the node with the maximum key is the rightmost node. The first function presented in the previous slide searches for the node with minimal key and returns its address. It takes as an argument the address of BST's root, which is passed by the node parameter. The while loop inside the function checks if BST node pointed by the node parameter exists and if its left\_child field is not an empty pointer (line no. 3). If both expressions in the loop condition are true, then the address from the left\_child field is assigned to the node parameter (line no. 4). It means that after the statement is performed, the node will point to the left child of the current node. The loop stops when it locates a node without a left child. The find\_minimum() function returns then the address of this node, because it is the BST leftmost node (line no. 5). Please notice, that the function returns NULL only in one case — when it is invoked for an empty BST.

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#### The find\_minimum() And find\_maximum() Functions

The find\_maximum() function is similar to find\_minimum(), but in the second expression of the while loop condition it checks if the right\_child field of the node pointed by the node parameter is an empty pointer. If so, then it assigns the address stored in that field to the node parameter (line no. 11). When the loop stops the function returns the address of the node pointed by the node parameter, because it is the rightmost BST node with the maximal key.

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# The locate() Function

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# The locate() Function

The objective of the locate() function is to find a BST node that stores a key passed by the number parameter. It also takes another argument, which is the address of BST root, passed by the node parameter. The function returns the address of the node with the specified key or NULL if such a node doesn't exist. Please notice, that the while loop in this function (lines 3–7) is quite similar to the while loop in the add\_node() function. This time however, the loop uses a first level ("regular") pointer. If the pointer is not empty and points to the node without the given key (line no. 3) then the function checks if the key in that node is greater than the specified key (line no. 4). If so, then it assigns the address of the node's left child to the node parameter (or NULL, if the child doesn't exist), otherwise it assigns the address of the node's right child (or NULL, if the child doesn't exist). After the loop stops the value stored in the node parameter is returned by the function.

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# Searching For a Node With a Given Key Notes The main advantage of the ${\tt BST}$ is the time complexity of locating a node with a given key. It is proportional to the height of the tree. If the shapeof the tree is close to the shape of a full tree, then its height is expressed as $log_2(n)$ , where n is the total number of nodes in the BST. 40 / 62 The remove\_bst\_nodes() Function Notes void remove\_bst\_nodes(struct bst\_node \*\*node) { if(\*node) { remove bst nodes(&(\*node)->left child); remove\_bst\_nodes(&(\*node)->right\_child); free(\*node); \*node=NULL: } } 41/62 The remove\_bst\_nodes() Function Notes The function that removes all nodes from a binary tree uses the post-ordertraversal algorithm, as it causes the removal to start from the leaves. This assures that there is no danger of passing addresses of non-existent node's fields to the recursive calls of the function. After the function exits, the value of the root pointer should be NULL. That's why remove\_bst\_nodes() assigns this value to the dereferenced node pointer (line no. 8). This means, that the NULL value is assigned to each pointer field of a node, before this node is deleted, and eventually it will be assigned to the root pointer. 42 / 62 Deletions in BST Notes The goal of deleting a BST node is actually to remove a key from this data structure. It is a quite complex operation. The function that implements it should properly handle the following cases: $1.\,$ there is no node of a given key — this case doesn't require any deletion to be preformed, 2. the node to be deleted doesn't have any children — the node may be removed, but the <code>NULL</code> value should be assigned to its parent's <code>left\_child</code> field (if the node to delete is its left child) or to the <code>right\_child</code> (if the node to delete is its right child), 3. the node to be deleted has only one child — before the node is deleted, the address of its child should be assigned to its parent's pointer field that now

points to this node,

removed instead.

the node to be deleted has two children — this is the hardest case — the node cannot be just deleted, another BST node has to be found that will be

#### Deletions in BST

The "another node" mentioned in the previous slide is either the successor or the predecessor of the node that should be deleted. The successor is a node with a key that is directly greater than the key in the node to be deleted. The predecessor stores a key that is directly smaller, than the key stored in the node to be deleted. Also, the successor of a node is its right subtree leftmost node and the predecessor of a node is its left subtree rightmost node. Before the predecessor/successor can be deleted, the key from this node has to be assigned to the node that was originally to be deleted.

In the implementation of this operation, that is discussed in this lecture, the predecessor of the node with two children is always deleted. First, a function that unlinks the predecessor from the BST is described and next the function that handles all the cases of deleting a single node from the BST.

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#### The isolate\_predecessor() Function

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# The isolate\_predecessor() Function

The isolate\_predecessor() function return the address of the predecessor of a node to be deleted. It is invoked only from within the function that deletes the BST node and only if the node has two children. As an argument it takes the address of a pointer that points to the left child (the root of the left subtree) of the node to be deleted. The while loop (lines 3-4) looks for the rightmost node in the left subtree of the node to be deleted. Please notice, that in each iteration of the loop the address of the pointer field that points to the current node right child is assigned to the node parameter. The loop stops when it finds a node that has no right child. It is the predecessor of the node to be deleted. The function assigns its address to the local pointer named predecessor (line no. 5). After that it assigns the address stored in the predecessor's left\_child field in the pointer pointed by the node parameter (line no. 6).

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## The isolate\_predecessor() Function

The predecessor doesn't have the right child for sure, however it may have the left one. If this child exists, then its address should be assigned to the pointer field of the predecessor's parent, that points to the predecessor. This assures that the child won't be lost together with, possibly its subtrees. If the child doesn't exist then the NULL value should be assigned to the pointer field of the predecessor's parent, that pointed to the predecessor — after the predecessor is unlinked its parent won't have this child.

When the predecessor is unlinked from the BST, then the function returns the predecessor's address and exits (line no. 7).

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# The delete\_node() Function

```
void delete_node(struct bst_node **node, int number)
          while(*node && (*node)->key != number)
                if ((*node)->key > number)
    node = &(*node)->left_child;
                       node = &(*node)->right_child;
          if (*node) {
                 struct bst_node *node_to_delete = *node;
                12
                       *node = (*node)->left_child;
15
                       node_to_delete =
      free(node_to_delete);
```

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# The delete\_node() Function

The delete\_node() function is responsible for deleting a BST node that stores a given key. It doesn't return any value, but takes two arguments: the address of a pointer that points to the  ${\tt BST}$  root and the key that should be store in the node to be deleted. Please note, that the while loop (lines 3-7) is the same as in the add\_node() function. However, when the loop stops the delete\_node() function, unlike add\_node(), checks if the node exists (line no. 8). If not, then the function exits — there is no node in the BST that stores the given key, so there is nothing to delete. If the node exists, then the function stores its address in a local pointer named node\_to\_delete (line no. 9). Then it checks if the left child of that node  ${\bf doesn't\ exist}$  (line no. 10). If it is so, then the node still can have a right child. That's why the delete\_node() function assigns the address stored in the right\_child field of the node to be deleted to the pointer pointed by the node parameter (line no. 11).

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# The delete\_node() Function

That way, if the node has a parent, the proper field pointer of the node's parent will start pointing to the node's right child. If, however, the node's right child doesn't exist, then the pointer field will get the NULL value, which in this case is also correct — after the node that stores the given

key is deleted its parent won't have this child. If the condition in the  $10^{th}$  line is not met then the function checks the condition in the  $12^{th}$  line, meaning if the right child of the node to be deleted **doesn't exist**. If the condition is met, then it is known for sure, that the left child exists (because the condition in the  $10^{th}$  line is not met). In that case the  ${\tt delete\_node}()$  function assigns the address of this child to the pointer pointed by the node parameter (line no. 13).

If both conditions in the lines no. 10 and no. 12 are not met, then the node to be deleted has two children. In that case it has to be replaced by its predecessor.

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## The delete node() Function

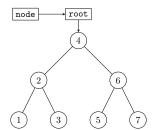
That's why, in the  $15^{th}$  line the delete\_node() function invokes isolate\_predecessor() passing, as an argument to that latter function, the address of the left\_child filed of the node, which originally should be deleted. The result of isolate\_predecessor() is assigned to the node\_to\_delete pointer (line no. 15). The outcome of that function is the address of the predecessor of the node to be deleted. The key from the predecessor is assigned to the node that originally was to be deleted (line no. 15). In all the cases that  ${\tt delete\_node()}$  should handle, it eventually invokes the free() function to release the memory allocated for the BST node

pointed by the node\_to\_delete (line no. 18) and quits. The next slide illustrates a simple case of deleting a key stored in a node

that has two children.

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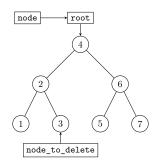
# Deleting a Node With Two Children



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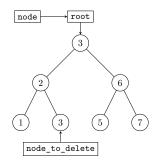
# Deleting a Node With Two Children



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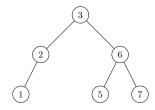
# Deleting a Node With Two Children



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# Deleting a Node With Two Children



Notes

#### The main() Function

First Part

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#### The main() Function

First Part

First, the main() function initializes the pseudorandom number generator (line no. 3) and in the for loop it tries to insert into the BST 10 nodes, which keys are integers randomly chosen from the [-10,10] interval (lines 4–5). Then, in the  $6^{th}$  line, it displays the number of nodes in the BST, which is returned by the count\_node() function. In the next lines the main() calls functions that display keys stored in the BST using, respectively the in-order, pre-order and post-order traversal algorithms. Please notice, that after each of the function exits, the put() is called to move the cursor to the next line on the screen.

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# The main() Function

Second Part

```
if(root) {
    printf("Minimum key: %d\n",
    find_minimum(root)->key);
    printf("Maximum key: %d\n",
    find_maximum(root)->key);
}
int number_to_delete = -10+rand()%21;
printf("Key to be deleted: %d\n", number_to_delete);
struct bst_node *result = locate(root, number_to_delete);
if(result)
printf("The key is in the binary search tree: %d\n",
    result->key);
else
puts("The key is not in the binary search tree.");
```

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# The main() Function

Second Part

The main() function also calls functions that find the nodes storing the minimal and maximal key (lines 1-4). Because these functions return NULL if and only if they are invoked on an empty BST, then the main() checks in the line no. 1, if it is not the case. When the condition is met, then the pointer returned by these functions can be immediately dereferenced and the keys stored in nodes pointed by them can be displayed (lines 2-3). Next the main() function randomly chooses a key to be removed and stores it in the number\_to\_delete local variable (line no. 6). The key is then displayed and the locate() function is invoked, which tries to find a node that stores such a key (line no. 7). The outcome of that latter function is assigned to a local pointer named result. The main() function checks if it is not an empty pointer (line no. 8) and prints the key stored in the node that this pointer points to. Otherwise, it prints an appropriate message.

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# The main() Function Third Part

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# The main() Function

Third Part

Next, the main() function calls the function that deletes the BST node with a given key (line no. 1). After that the program displays messages, informing the user, which key it tried to delete (line no. 2) and how many nodes there are in the BST now (line no. 3). Then it displays all keys stored in the BST using the *in-order* traversal algorithm (line no. 5). This order allows the user to quickly determine, which key has been deleted. Finally, the main() calls the remove\_bst\_nodes() function to delete all the nodes in the BST (line no. 7) and exits (line no. 8).

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# Summary

The main advantage of the BST is the time complexity of operation performed on that tree, which is proportional to its height. If the shape of the BST is close to the shape of a full binary tree, then its height is  $log_2(n)$ , where n is the number of nodes in the BST. Unfortunately, if keys are added to the tree in an increasing or decreasing order, then the resulting BST will become a list and its height will be n.

To avoid such edge cases the balanced trees, such as the AVL trees and red-black trees, can be used instead of BSTs. Most of the operations on BST can be easily implemented with the use of both the recursive and non-recursive (using loops) functions. The tree traversal (and related) operations are an exception. They are implemented the best as recursive functions.

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## Summary

Please notice, that the binary trees may be used to represent arithmetic expressions. These sorts of binary trees are called binary expression trees. If we apply the in-order traversal algorithm to print the content of the tree nodes on the screen then we will get the expression in conventional (infix) notation. If we use the pre-order traversal algorithm for the same purpose, then we will get the expression in the Polish (prefix) notation (or PN). Finally, the post-order traversal algorithm will give us the expression in the reverse Polish (postfix) notation (or RPN).

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